

# COMPUTING LOCAL CONSTANTS FOR CM ELLIPTIC CURVES

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**ABSTRACT.** Let  $E/k$  be an elliptic curve with CM by  $\mathcal{O}$ . We determine a formula for (a generalization of) the arithmetic local constant of [5] at almost all primes of good reduction. We apply this formula to the CM curves defined over  $\mathbb{Q}$  and are able to describe extensions  $F/\mathbb{Q}$  over which the  $\mathcal{O}$ -rank of  $E$  grows.

## 1. INTRODUCTION

Let  $p$  be an odd rational prime. Let  $k \subset K \subset L$  be a tower of number fields, with  $K/k$  quadratic,  $L/K$   $p$ -power cyclic, and  $L/k$  Galois with a dihedral Galois group, i.e. a lift of  $1 \neq c \in \text{Gal}(K/k)$  acts by conjugation on  $g \in \text{Gal}(L/K)$  as  $cgc^{-1} = g^{-1}$ . In [5] Mazur and Rubin define arithmetic local constants  $\delta_v$ , one for each prime  $v$  of  $K$ , which describe the growth in  $\mathbb{Z}$ -rank of  $E$  over the extension  $L/K$ . Specifically (cf. [5, Theorem 6.4]), for  $\chi : \text{Gal}(L/K) \hookrightarrow \bar{\mathbb{Q}}^\times$  an injective character and  $S$  a set of primes of  $K$  containing all primes above  $p$ , all primes ramified in  $L/K$ , and all primes where  $E$  has bad reduction,

$$(1.1) \quad \text{rank}_{\mathbb{Z}[\chi]} E(L)^\chi - \text{rank}_{\mathbb{Z}} E(K) \equiv \sum_{v \in S} \delta_v \pmod{2}.$$

To phrase their result this way, we must assume the Shafarevich-Tate Conjecture<sup>1</sup>, and we keep this assumption throughout.

In [1], the theory of arithmetic local constants is generalized to address the  $\mathcal{O}$ -rank of varieties with complex multiplication (CM) by an order  $\mathcal{O}$ , and we continue in that direction with specific attention to the elliptic curve case. Following [1], we assume that  $\mathcal{O} \subset \text{End}_K(E)$  is the maximal order in a quadratic imaginary field  $\mathbb{K}$ ,  $p$  is unramified in  $\mathcal{O}$ , and  $\mathcal{O}^c = \mathcal{O}^\dagger = \mathcal{O}$  where  $^\dagger$  indicates the action of the Rosati involution (see [6, §I.14]). When  $\mathbb{K} \not\subset k$ , these assumptions imply  $K = k\mathbb{K}$ .

Our present aim is to provide a simple formula for the local constants  $\delta_v$  (see Definition 2.2) for primes  $v \nmid p$  of good reduction. We then will use a result ([1, §6]) which generalizes (1.1), with  $\mathbb{Z}$  replaced by  $\mathcal{O}$ , to determine conditions under which the  $\mathcal{O}$ -rank of  $E$  grows. In §3 we will describe, via class field theory, dihedral

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<sup>1</sup>Without this assumption all statements regarding  $\mathcal{O}$ -rank of  $E$  would be replaced by analogous statements regarding  $\mathcal{O} \otimes \mathbb{Z}_p$ -corank of the  $p^\infty$ -Selmer group  $\text{Sel}_{p^\infty}(E/K)$  of  $E$ .

extensions  $F/\mathbb{Q}$  which satisfy those conditions, in order to give some concrete setting to the results of §2.

## 2. COMPUTING THE LOCAL CONSTANT

Suppose  $p$  splits<sup>2</sup> in  $\mathcal{O}$ , i.e.  $p\mathcal{O} = \mathfrak{p}_1\mathfrak{p}_2$ , with  $\mathfrak{p}_1 \neq \mathfrak{p}_2$ . We denote  $R = \mathcal{O}/p\mathcal{O}$  and  $R_i = \mathcal{O}/\mathfrak{p}_i$  for  $i = 1, 2$ , so that  $R \cong R_1 \oplus R_2$ .

**Definition 2.1.** If  $M$  is an  $\mathcal{O}$ -module of exponent  $p$ , define the  $R$ -rank of  $M$  by

$$\text{rank}_R M := (\text{rank}_{R_1} M \otimes_R R_1, \text{rank}_{R_2} M \otimes_R R_2).$$

The following definition is the same as in [1] and [5]. Fix a prime  $v$  of  $K$  and let  $u$  and  $w$  be primes of  $k$  below  $v$  and of  $L$  above  $v$ , respectively. Denote  $k_u$ ,  $K_v$ , and  $L_w$  for the completions of  $k$ ,  $K$ , and  $L$  at  $u$ ,  $v$ , and  $w$ , respectively. If  $L_w \neq K_v$ , let  $L'_w$  be the extension of  $K_v$  inside  $L_w$  with  $[L_w : L'_w] = p$ , and otherwise let  $L'_w = L_w = K_v$ .

**Definition 2.2.** Define the arithmetic local constant  $\delta_v := \delta(v, E, L/K)$  by

$$\delta_v \equiv \text{rank}_R E(K_v) / (E(K_v) \cap N_{L_w/L'_w} E(L_w)) \pmod{2}.$$

Now, we will consider primes  $v$  of  $K$  such that  $E$  has good reduction at  $v$ ,  $v \nmid p$ ,  $v^c = v$ , and  $v$  ramifies in  $L/K$  (corresponding to Lemma 6.6 of [5]). Under these conditions Theorem 5.6 of [5] shows that

$$(2.1) \quad \dim_{\mathbb{F}_p} E(K_v) / (E(K_v) \cap N_{L_w/L'_w} E(L_w)) \equiv \dim_{\mathbb{F}_p} E(K_v)[p] \pmod{2}.$$

Proposition 2.4 below shows that we are able to replace  $\dim_{\mathbb{F}_p}$  by  $\text{rank}_R$  in (2.1). We first need Lemma 2.3, which follows Lemmas 5.4-5.5 of [5], and our proof is meant only to address the change from  $\dim_{\mathbb{F}_p}$  to  $\text{rank}_R$ .

Let  $\mathcal{K}$  and  $\mathcal{L}$  be finite extensions of  $\mathbb{Q}_\ell$ , with  $\ell \neq p$ , and suppose  $\mathcal{L}/\mathcal{K}$  is a finite extension.

**Lemma 2.3.** *Suppose  $\mathcal{L}/\mathcal{K}$  is cyclic of degree  $p$ ,  $E$  is defined over  $\mathcal{K}$  and has good reduction. Then*

- (i)  $\text{rank}_R E(\mathcal{K})/pE(\mathcal{K}) = \text{rank}_R E(\mathcal{K})[p]$ .
- (ii) If  $\mathcal{L}/\mathcal{K}$  is ramified then  $E(\mathcal{K})/pE(\mathcal{K}) = E(\mathcal{L})/pE(\mathcal{L})$  and

$$N_{\mathcal{L}/\mathcal{K}} E(\mathcal{L}) = pE(\mathcal{K}).$$

- (iii) If  $\mathcal{L}/\mathcal{K}$  is unramified then  $N_{\mathcal{L}/\mathcal{K}} E(\mathcal{L}) = E(\mathcal{K})$ .

*Proof.* When  $\ell \neq p$  we have  $E(\mathcal{K})/pE(\mathcal{K}) = E(\mathcal{K})[p^\infty]/pE(\mathcal{K})[p^\infty]$ . Since  $E(\mathcal{K})[p^\infty]$  is finite, (i) follows from the exact sequence of  $\mathcal{O}$ -modules

$$0 \rightarrow E(\mathcal{K})[p] \rightarrow E(\mathcal{K})[p^\infty] \rightarrow pE(\mathcal{K})[p^\infty] \rightarrow 0.$$

The content of (ii) and (iii) is on the level of sets, so the proof is exactly as in Lemma 5.5 of [5].  $\square$

We return to the notation of Definition 2.2.

**Proposition 2.4.** *If  $v \nmid p$  and  $L_w/K_v$  is nontrivial and totally ramified, then*

$$\delta_v \equiv \text{rank}_R E(K_v)[p] \pmod{2}.$$

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<sup>2</sup>The simpler case of  $p$  being inert in  $\mathcal{O}$ , i.e.  $\mathcal{O}/p\mathcal{O}$  is a field, is treated similarly.

*Proof.* As in [5, proof of Thm. 5.6], Lemma 2.3(ii) yields  $N_{L_w/L'_w} E(L_w) = pE(L'_w)$  and hence  $E(K_v) \cap pE(L'_w) = pE(K_v)$ . So by Definition 2.2 and Lemma 2.3(i)

$$\delta_v \equiv \text{rank}_R E(K_v)/pE(K_v) \equiv \text{rank}_R E(K_v)[p] \pmod{2}.$$

□

Now, fix a prime  $v$  of  $K$ . We denote  $\kappa_u$  for the residue field of  $k_u$ ,  $q = \#\kappa_u$  for the size of finite field  $\kappa_u$ , and  $\tilde{E}$  for the reduction of  $E$  to  $\kappa_u$ .

**Proposition 2.5.** *Suppose  $v \nmid p$ ,  $v$  is ramified in  $L/K$ , and  $v^c = v$ . If  $E$  has good reduction at  $v$ , then  $\delta_v \equiv (1, 1)$  if and only if  $p \mid \#\tilde{E}(\kappa_u)$ .*

*Proof.* We follow the notation of Lemma 6.6 of [5]. Since  $v^c = v$  we know that  $K_v/k_u$  is quadratic, and it is unramified by Lemma 6.5(ii) of [5]. Let  $\Phi$  be the Frobenius generator of  $\text{Gal}(K_v^{ur}/k_u)$ , so  $\Phi^2$  is the Frobenius of  $\text{Gal}(K_v^{ur}/K_v)$ .

The proof of Lemma 6.6 of [5] shows that the product of the eigenvalues  $\alpha, \beta$  of  $\Phi$  on  $E[p]$  is  $-1$ . Also,  $E(K_v)[p] = E[p]^{\Phi^2=1}$  is equal (as a set) to  $E[p]$  or is trivial depending on whether or not  $\{\alpha, \beta\} = \{1, -1\}$ , respectively. Since  $E$  has CM by  $\mathcal{O}$ ,  $E[p]$  is a rank 1  $R$ -module (see e.g. [10, §II.1]), so the former case yields

$$\delta_v \equiv \text{rank}_R E(K_v)[p] = (1, 1) \pmod{2}.$$

By assumption  $v \nmid p$ , so  $p$  is prime to the characteristic of  $\kappa_u$ , and therefore the reduction map restricted to  $p$ -torsion is injective ([9, §VII.3]). We also know  $E[p]$  is unramified ([9, §VII.4]), and so the eigenvalues of  $\Phi$  acting on  $E[p]$  coincide (mod  $p$ ) with the eigenvalues of the  $q$ -power Frobenius map  $\varphi_q$  on  $\tilde{E}[p]$ . We know ([9, §V]) that the characteristic polynomial of  $\varphi_q$  is  $T^2 - aT + q$ , where  $a = q + 1 - \#\tilde{E}(\kappa_u)$ , and from the above comments  $q \equiv -1 \pmod{p}$ . Therefore,  $\Phi$  having eigenvalues  $\pm 1$  is equivalent to  $a \equiv 0 \pmod{p}$  and in turn equivalent to  $\#\tilde{E}(\kappa_u) \equiv 0 \pmod{p}$ . □

**Corollary 2.6.** *If  $\mathbb{K} \not\subset k$  then  $\delta_v \equiv (1, 1)$ .*

*Proof.* To see that  $p \mid \#\tilde{E}(\kappa_u)$ , we show that  $a = 0$  under our assumptions on  $v$ , where  $a = q + 1 - \#\tilde{E}(\kappa_u)$  as above<sup>3</sup>. The theory of complex multiplication gives  $a = \pi_u + \bar{\pi}_u$  for some  $\pi_u \in \mathcal{O}$  such that  $\pi_u \bar{\pi}_u = q$  (see e.g. Theorem 14.16 in [3], [10, §II.10], or [8] for a thorough discussion). As  $\mathbb{K} \not\subset k$ , we have  $K = k\mathbb{K}$ , and we let  $\psi = \psi_{E/K}$  be the Grössencharacter associated to  $E$  and  $K$  (see [10, §II.9] or [4]). By comparing their effect on  $K(E[\ell])$ , where  $\ell$  is prime to  $v$ , we see that  $\psi(v)^c = \psi(v^c)$ , and since  $v = v^c$  we have that  $\psi(v)$  is fixed by  $c$ . It follows that  $\psi(v)$  is rational, the corresponding  $\pi_v \in \mathcal{O} \subset \text{End}_K(E)$  is integral, and in fact  $\pi_v = \pm q$  by degree arguments. In addition  $\pi_u^2 = \pi_v$ , and we will see that  $\pi_u = \sqrt{-q}$  is purely imaginary. Indeed,  $\pi_u$  having no real part implies  $a = \pi_u + \bar{\pi}_u = 0$ , hence

$$\#\tilde{E}(\kappa_u) \equiv q + 1 \equiv 0 \pmod{p}$$

and  $\delta_v \equiv (1, 1)$  by Proposition 2.5.

Suppose instead that  $\pi_u = \sqrt{q}$  is real<sup>4</sup>. If in addition we suppose  $\pi_u$  is integral then the reduction  $\varphi_q \in \text{End}(\tilde{E})$  of  $\pi_u$  would commute with all endomorphisms of

<sup>3</sup>That  $a = 0$  in this case is known (see Exercise 2.30 of [10], §4 Theorem 10 of [4], or Theorem 7.46 [7] for generalization to higher dimensional abelian varieties), we include an argument for completeness.

<sup>4</sup>The case  $\pi_u = -\sqrt{q}$  follows the same argument.

$\tilde{E}$ . As  $\mathbb{K} \not\subset k$ , there is some  $\rho \in \text{End}_K(E)$  such that  $\rho \neq \rho^c$  and hence  $\tilde{\rho} \neq \tilde{\rho}^c$ . Thus for some  $P \in \tilde{E}(\kappa_u)$ ,  $P^c = P$  and  $\tilde{\rho}(P^c) \neq \tilde{\rho}^c(P)$ . As the action of  $c$  on  $\kappa_u$  coincides with that of Frobenius  $\tilde{\Phi}$ , it follows that  $\tilde{\rho}$  does not commute with  $\tilde{\Phi}$ , and in turn  $\tilde{\rho}$  does not commute with the Frobenius endomorphism  $\varphi_q \in \text{End}(\tilde{E})$  induced by  $\tilde{\Phi}$ .

If  $\pi_u = \sqrt{q}$  is real and irrational, then  $k \subsetneq \mathbb{Q}(\pi_u)k \subset K$  and so  $c \in \text{Gal}(K/k)$  acts non-trivially on  $\pi_u$ , i.e.  $\pi_u^c = -\sqrt{q}$ . It follows that

$$q = N_{\mathbb{K}/\mathbb{Q}}(\pi_u) = \pi_u \pi_u^c = -q,$$

which is a contradiction, and we conclude  $\pi_u$  is purely imaginary as desired.  $\square$

Define a set  $\mathfrak{S}_L$  of primes  $v$  of  $K$  by

$$\mathfrak{S}_L := \{v \mid p, \text{ or } v \text{ ramifies in } L/K, \text{ or where } E \text{ has bad reduction}\}.$$

**Theorem 2.7** (Theorem 6.1 of [1]). *Let  $\chi : \text{Gal}(L/K) \hookrightarrow \bar{\mathbb{Q}}^\times$  be an injective character, and  $\mathcal{O}[\chi]$  the extension of  $\mathcal{O}$  by the values of  $\chi$ . Assuming the Shafarevich-Tate Conjecture,*

$$\text{rank}_{\mathcal{O}[\chi]} E(L)^\chi - \text{rank}_{\mathcal{O}} E(K) \equiv \sum_{v \in \mathfrak{S}_L} \delta_v \pmod{2}.$$

We now consider a dihedral tower  $k \subset K \subset F$  where  $F/K$  is  $p$ -power abelian. Following [5, §3], we note that there is a bijection between cyclic extensions  $L/K$  in  $F$  and irreducible rational representations  $\rho_L$  of  $G = \text{Gal}(F/K)$ . The semisimple group ring  $\mathbb{K}[G]$  decomposes as

$$\mathbb{K}[G] \cong \oplus_L \mathbb{K}[G]_L$$

where  $\mathbb{K}[G]_L$  is the  $\rho_L$ -isotypic component of  $\mathbb{K}[G]$ . For each  $L$ , for us it suffices deal with an injective character  $\chi : \text{Gal}(L/K) \hookrightarrow \bar{\mathbb{Q}}^\times$  appearing in the direct-sum decomposition of  $\rho_L \otimes \bar{\mathbb{Q}}^\times$ , and  $\text{rank}_{\mathcal{O}[\chi]} E(F)^\chi$  is independent<sup>5</sup> of the choice of  $\chi$ .

**Theorem 2.8.** *Assume  $\mathbb{K} \not\subset k$ .<sup>6</sup> Suppose that for every prime  $v$  satisfying  $v^c = v$  and which ramifies in  $F/K$ , we have  $v \nmid p$  and  $E$  has good reduction at  $v$ . For  $m$  equal to the number of such  $v$ , if  $\text{rank}_{\mathcal{O}} E(K) + m$  is odd then*

$$\text{rank}_{\mathcal{O}} E(F) \geq [F : K].$$

*Proof.* Fix a cyclic extension  $L/K$  inside  $F$ . If  $v$  is a prime of  $K$  and  $v^c \neq v$  then  $\delta_v \equiv \delta_{v^c}$  and hence  $\delta_v + \delta_{v^c} \equiv (0, 0) \pmod{2}$  by Lemma 5.1 of [5]. If  $v^c = v$  and  $v$  is unramified in  $L/K$ , then  $v$  splits completely in  $L/K$  by Lemma 6.5(i) of [5]. It follows that  $N_{L_w/L'_w}$  is surjective and so  $\delta_v \equiv (0, 0)$  by Definition 2.2. The remaining primes  $v$  are precisely those named in the assumption, so Proposition 2.6 gives  $\sum_v \delta_v \equiv (m, m) \pmod{2}$ . Thus,

$$\text{rank}_{\mathcal{O}[\chi]} E(L)^\chi \equiv \text{rank}_{\mathcal{O}} E(K) + m \pmod{2}$$

and we have assumed that the right-hand side is odd.

From Corollary 3.7 of [5] it follows that

$$\text{rank}_{\mathcal{O}} E(F) = \sum_L (\dim_{\mathbb{Q}} \rho_L) \cdot (\text{rank}_{\mathcal{O}[\chi]} E(L)^\chi).$$

<sup>5</sup>We could instead write that  $\dim_{\bar{\mathbb{Q}}} (E(F) \otimes \bar{\mathbb{Q}})^\chi$  is independent of the choice of  $\chi$ .

<sup>6</sup>The case  $\mathbb{K} \subset k$  is similar, with  $m$  equal to the number of  $v$  such that  $p \mid \# \tilde{E}(\kappa_u)$ .

As the previous paragraph applies for every cyclic  $L/K$  in  $F$ , we see from the decomposition of  $\mathbb{K}[G]$  that  $E(F) \otimes \mathbb{Q}$  contains a submodule isomorphic to  $\mathbb{K}[G]$  and the claim follows.  $\square$

### 3. CM ELLIPTIC CURVES DEFINED OVER $\mathbb{Q}$

Here, we will consider the CM elliptic curves  $E$  defined over  $\mathbb{Q}$  (as in [10, A.3]). For each  $E$ , our aim is to determine<sup>7</sup> examples of dihedral towers  $\mathbb{Q} \subset K \subset F$  over which, according to Theorem 2.8, the  $\mathcal{O}$ -rank of  $E$  grows. As we have assumed  $\mathcal{O} \subset \text{End}_K(E)$ , we will consider towers in which  $K = \mathbb{K}$  (see §1). All of our calculations will be done using Sage [11].

Let  $E_D/\mathbb{Q}$  be the elliptic curve of minimal conductor<sup>8</sup> defined over  $\mathbb{Q}$  with CM by  $K_D = \mathbb{Q}(\sqrt{-D})$ . We determine computationally<sup>9</sup>  $\text{rank}_{\mathbb{Z}} E_D(K_D)$ , and for  $D = 3$  we see that this group is finite. For  $D = 4, 7$ , the situation is less certain, as Sage only tells us that  $E_D(\mathbb{Q})$  is finite and  $\text{rank}_{\mathbb{Z}} E_D(K_D) \leq 2$ . For each of the remaining CM curves  $E_D$  defined over  $\mathbb{Q}$ , one can (provably) calculate that  $\text{rank}_{\mathbb{Z}} E_D(\mathbb{Q}) = 1$ . We also have that  $\text{rank}_{\mathbb{Z}} E_D(K_D) \geq \text{rank}_{\mathbb{Z}} E_D(\mathbb{Q}) = 1$  and  $\text{rank}_{\mathbb{Z}} E_D(K_D)$  cannot be even, so  $\text{rank}_{\mathcal{O}} E_D(K_D) \geq 1$ . For  $D = 8, 11, 19, 43, 67$ , and  $163$ , Sage gives an upper bound<sup>7</sup> of 3 for  $\text{rank}_{\mathbb{Z}} E_D(K_D)$  and so for these  $D$  we can conclude that in fact  $\text{rank}_{\mathcal{O}} E_D(K_D) = 1$ .

**3.1. Dihedral Extensions of  $\mathbb{Q}$ .** Recall that  $p$  is a fixed odd rational prime. Presently, we also fix  $D \in \{3, 4, 7, \dots, 163\}$  and let  $E = E_D$ ,  $K = K_D$ . We are interested in abelian extensions  $F/K$  which are dihedral over  $\mathbb{Q}$ , and these are exactly the extensions contained in the ring class fields of  $K$  (see [3], Theorem 9.18).

Let  $\mathcal{O}_f$  be an order in  $\mathcal{O}_K$  of conductor  $f$ . We have a simple formula for the class number  $h(\mathcal{O}_f)$  of  $\mathcal{O}_f$  using, for example, Theorem 7.24 of [3], and noting that we have  $h(\mathcal{O}_K) = 1$ ,

$$h(\mathcal{O}_f) = \frac{f}{[\mathcal{O}_K^\times : \mathcal{O}_f^\times]} \cdot \prod_{\text{primes } \ell | f} \left( 1 - \left( \frac{-D}{\ell} \right) \frac{1}{\ell} \right).$$

For  $D \neq 3, 4$  we have  $\mathcal{O}_K^\times = \{\pm 1\}$  and for  $D = 4$  we have  $\#\mathcal{O}_K^\times = 4$ , so in both of these cases  $[\mathcal{O}_K^\times : \mathcal{O}_f^\times]$  is prime to  $p$ . For  $D = 3$ , one can show that  $[\mathcal{O}_K^\times : \mathcal{O}_f^\times] = 3$  when  $f > 1$ . The following paragraphs require only minor adjustments for the case  $p = D = 3$ .

Taking  $f$  to be an odd rational prime such that  $(-D/f) = \pm 1$ , the class number becomes  $h(\mathcal{O}_f) = f \mp 1$  and so the ring class field  $H_{\mathcal{O}_f}$  associated to  $\mathcal{O}_f$  is an abelian extension of  $K$  of degree  $f \mp 1$ . Thus, for  $f \equiv \pm 1 \pmod{p}$  we have a (non-trivial)  $p$ -power subextension  $F/K$  which is dihedral over  $\mathbb{Q}$ .

Next we need to understand the ramification in  $F/K$ . As  $K$  has class number 1, we know there are no unramified extensions of  $K$ , and so we must ensure that  $F$  satisfies the hypotheses of Theorem 2.8. A prime  $v$  of  $K$  ramifies in  $H_{\mathcal{O}_f}/K$  if and only if  $v \mid f\mathcal{O}_K$  (see for example exercise 9.20 in [3] and recall  $f$  is odd). If we choose  $f$  so that  $-D$  is not a square  $\pmod{f}$ ,  $f$  is inert in  $K/\mathbb{Q}$ , and so  $f\mathcal{O}_K$

<sup>7</sup>Determined up to the correspondence of class field theory.

<sup>8</sup>See p.483 of [10], with  $f = 1$  (in Silverman's notation), for a Weierstrass equation.

<sup>9</sup>Specifically with Sage's interface to John Cremona's 'mwrank' and Denis Simon's 'simon\_two\_descent.'

is prime and moreover the only prime that ramifies in  $H_{\mathcal{O}_f}/K$ . If  $f\mathcal{O}_K$  does not ramify in  $F/K$  then the  $p$ -extension  $F/K$  is contained in the Hilbert class field  $H_K$  of  $K$ . As  $H_K = K$ , this is impossible, so  $f\mathcal{O}_K$  ramifies in  $F/K$  and no other primes ramify in  $F/K$ . Taking  $f$  such that  $f \nmid D$  and  $-D$  is a square (mod  $f$ ), we have that  $f$  is not inert and does not ramify in  $K/\mathbb{Q}$ . As in the previous case, the primes of  $K$  above  $f$  both ramify in the  $p$ -extension  $F/K$  contained in  $H_{\mathcal{O}_f}$ .

Now, suppose  $\text{rank}_{\mathcal{O}} E(K)$  is odd<sup>10</sup>. To apply Theorem 2.8, we must have an even number  $m$  of primes  $v$  such that  $v^c = v$ ,  $v$  ramifies in  $F/K$ ,  $E$  has good reduction at  $v$  and for which  $p \mid \#\tilde{E}(\mathbb{Z}/f\mathbb{Z})$ . First, we can guarantee  $m = 0$  if the only primes  $v$  which ramify in  $F/K$  do not satisfy  $v^c = v$ , e.g. taking  $f \nmid D$  with  $(-D/f) = 1$ . Table 3.1 below gives, for each  $D$  and for  $p = 3, 5, 7$ , the smallest prime  $f$  which gives an extension of degree  $p$  following this recipe. We note that we do not need Proposition 2.5 for this case.

If we wish to allow for primes  $v$  satisfying  $v^c = v$ , we choose two  $p$ -extensions  $F_1, F_2$  from two distinct rational primes  $f_i$  as above with  $f_i \equiv -1 \pmod{p}$  and  $(-D/f_i) = -1$ , for  $i = 1, 2$ . The compositum  $F = F_1 F_2$  will satisfy our requirements. Indeed, firstly  $F$  is an abelian  $p$ -extension of  $K$  and is contained in the ring class field  $H_{\mathcal{O}_{f_1 f_2}}$ , hence dihedral over  $\mathbb{Q}$  with only  $f_1 \mathcal{O}_K$  and  $f_2 \mathcal{O}_K$  ramifying in  $F/K$ . Secondly, as each  $f_i$  is inert in  $K/\mathbb{Q}$ , each is a supersingular prime for  $E$  (this follows from the arguments in Corollary 2.6) and hence  $p$  divides  $\#\tilde{E}(\mathbb{Z}/f_i\mathbb{Z}) = f_i + 1$ . Thus,  $E$  and the  $p$ -extension  $F/K$  satisfy the hypotheses of Theorem 2.8. Table 3.2 below gives, for each  $D$  and for  $p = 3, 5, 7$ , the smallest pair of distinct primes  $f_1, f_2$  which give extensions of degree  $p^2$  following this recipe.

Next, suppose  $\text{rank}_{\mathcal{O}} E(K)$  is even.<sup>11</sup> In this case, we need  $m$  to be odd in order to apply Theorem 2.8. The same ideas as above still work, and in Table 3.3 we list, for each  $D$  and for  $p = 3, 5, 7$ , the smallest prime  $f$  for which Theorem 2.8 guarantees  $\text{rank} \geq p$ .

**Remark 3.1.** Though there are algorithms in the literature to compute the defining polynomial of a class field (e.g. [2, §6], [3, §§11-3]) and such computational problems are of interest independently, we make no attempt here to explicitly determine the ring class fields  $H_{\mathcal{O}_f}$ . As is apparent from Table 3.2, our method of determining a field to which Theorem 2.8 applies involves ring class fields of large degree in a computationally inefficient way.

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<sup>10</sup>The cases  $D = 8, 11, \dots, 163$  and possibly  $D = 4, 7$ .

<sup>11</sup>The case  $D = 3$  and possibly  $D = 4, 7$ .

	$p = 3$		$p = 5$		$p = 7$	
$D$	$f$	$[F : K]$	$f$	$[F : K]$	$f$	$[F : K]$
4	13	3	41	5	29	7
7	43	3	11	5	29	7
8	43	3	11	5	43	7
11	31	3	31	5	71	7
19	7	3	11	5	43	7
43	13	3	11	5	127	7
67	103	3	71	5	29	7
163	43	3	41	5	43	7

TABLE 3.1. Case  $m = 0$ 

	$p = 3$			$p = 5$			$p = 7$		
$D$	$f_1$	$f_2$	$[F : K]$	$f_1$	$f_2$	$[F : K]$	$f_1$	$f_2$	$[F : K]$
4	11	23	9	19	59	25	83	139	49
7	5	41	9	19	59	25	13	41	49
8	5	23	9	29	79	25	13	167	49
11	2	29	9	29	79	25	13	41	49
19	2	29	9	29	59	25	13	41	49
43	2	5	9	19	29	25	223	349	49
67	2	5	9	79	109	25	13	41	49
163	2	5	9	19	29	25	13	139	49

TABLE 3.2. Case  $m = 2$ 

	$p = 3$		$p = 5$		$p = 7$	
$D$	$f$	$[F : K]$	$f$	$[F : K]$	$f$	$[F : K]$
3	17	3	29	5	41	7
4	11	3	19	5	83	7
7	5	3	19	5	13	7

TABLE 3.3. Case  $m = 1$ 

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